



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hong et al

Attorney Docket No. MESO0026

Serial No.: 09/686,917

Group Art Unit: 1764

Filed: October 9, 2000

Examiner:

Title: INTEGRAL COMPACT HEAT EXCHANGER AND CATALYTIC  
REACTOR FOR SCAVENGING CONTAMINANTS FROM AIR

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

Bellevue, Washington 98004

April 1, 2002

TO THE DIRECTOR OF THE PATENT AND TRADEMARK OFFICE:

The undersigned hereby certifies that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to my knowledge, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

*Ron Anderson*

Ronald M. Anderson  
Registration No. 28,829

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid addressed to: Director of the Patent and Trademark Office, Arlington, VA 22202, on April 1, 2002.

Date: April 1, 2002

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PATENT TRADEMARK OFFICE

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Bellevue, Washington 98004

April 1, 2002

TO THE DIRECTOR OF THE PATENT AND TRADEMARK OFFICE.

Applicant is aware of the information listed in the attached form that may be material to the prosecution of the above-identified patent application.

- ☒ 1. Copies of the listed patents, publications, and other information are enclosed for the Examiner's use.
- ☐ 2. Copies of the listed patents, publications, and other information were previously cited by or submitted to the U.S. Patent and Trademark Office in prior application Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. § 120.
- ☐ 3. A concise explanation of the relevance of document I.D. No. \_\_\_\_\_ (which is not in the English language), as presently understood by the individual designated under 37 C.F.R. § 1.56(c) most knowledgeable about its content, is provided \_\_\_\_\_.
- ☐ 4. Pursuant to 37 C.F.R. § 1.97(b), this information disclosure statement is being filed within three months of the filing date of the national application, within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, or before the mailing date of a first Office Action on the merits.
- ☒ 5. Pursuant to 37 C.F.R. § 1.97(c), this information disclosure statement is being filed after the period set forth in 37 C.F.R. § 1.97(b) but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311, and is accompanied by:
- a. ☒ a certification as specified in 37 C.F.R. § 1.97(e); or
- b. ☐ the fee set forth in 37 C.F.R. § 1.17(p). Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ is enclosed.

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6. Pursuant to 37 C.F.R. § 1.97(d), this information disclosure statement is being filed after the mailing date of either:

a. a final action under 37 C.F.R. § 1.113; or

b. a notice of allowance under 37 C.F.R. § 1.311,

but before payment of the issue fee. The statement is accompanied by a certification as specified in 37 C.F.R. § 1.97(e), a petition requesting consideration of the information disclosure statement, and the petition fee set forth in 37 C.F.R. § 1.17(i)(1). Check No. \_\_\_\_\_ in the amount of \$\_\_\_\_ is enclosed.

X 7. Please charge any additional fees or credit any overpayment to Deposit Account No. 01-1940. A copy of this sheet is enclosed.

Respectfully submitted, **RECEIVED**  
APR - 9 2002  
*Ron Anderson*  
Ronald M. Anderson  
Registration No. 28,829

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid addressed to: Director of the Patent and Trademark Office, Arlington, VA 22202, on April 1, 2002.

Date: April 1, 2002

*Tham Hua*



# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT LISTING SHEET

## Information Cited By Applicant(s) That May Be Material To The Prosecution Of The Subject Application

Applicants: Hong et al. Attorney Docket No. MESO0026  
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### U.S. PATENT DOCUMENTS

| *Examiner<br>Initial | ID  | Document No. | Date      | Name             | Class | Sub-<br>Class |
|----------------------|-----|--------------|-----------|------------------|-------|---------------|
|                      | US1 | 1,662,870    | 3/20/1928 | C.W. Stancliffe  |       |               |
|                      | US2 | 5,658,537    | 8/19/1997 | Jeffrey S. Dugan | 422   | 191           |

### FOREIGN PATENT DOCUMENTS

NONE CITED

### OTHER INFORMATION

NONE CITED

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TC 1700

\_\_\_\_\_  
Examiner's Signature

\_\_\_\_\_  
Date

\*Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RMA:ssa  
4/1/02